

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LAWRENCE) Confirmation No.: 1175
)
Application No.: 10/775,868) Group Art Unit: 3696
)
Filing Date: 2/10/2004) Examiner: Gerald C. Vizvary
)
For: INSIDER TRADING RISK) AMENDMENT and RESPONSE to
MANAGEMENT) February 25, 2008 Non-Final Office
) Action
)
) Docket No.: G08.130/U
)
) PTO Customer Number 28062
) Buckley, Maschoff & Talwalkar LLC
) 50 Locust Avenue
) New Canaan, CT 06840
)

Mail Stop Amendment (via EFS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed February 25, 2008, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 7 of this paper.